



# Vagus Nerve Stimulation in Intractable Epilepsy

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## ABSTRACT

**AIM:** To investigate and compare the efficacy and safety of vagus nerve stimulation (VNS) therapy in different types of epilepsy.

**MATERIAL and METHODS:** Patients, who were implanted with VNS between the years 2005 and 2020, were retrospectively included in the study. Age, gender, age at seizure onset, epilepsy types, VNS implantation year, replacement year, pre and post-VNS seizure frequency, number of responders, number of antiseizure medication and adverse events were recorded.

**RESULTS:** In total, 41 patients were included in the study. The number of patients with focal epilepsy was 21 (51.2%). 10 patients (24.4%) had generalized epilepsy and 10 patients (24.4%) had “combined generalized and focal epilepsy” (Lennox-Gastaut, Dravet syndrome). The Pre-VNS median seizure frequency was 1.5/day in the focal group, 0.6/day in the generalized group and 6/day in the combined group. Seizure frequencies dropped to 0.3/day in the focal group, 0.2/day in the generalized group and 3.0/day in the combined group at the 12<sup>th</sup> month after VNS ( $p < 0.001$ ,  $p = 0.004$ ,  $p < 0.001$ ). The response rate was found to be 68.3% at the 12th month after VNS. The number of antiseizure medications was decreased from 3.6/day to 3.1/day at the 12th months after VNS ( $p < 0.001$ ). Two patients’ (4.9%) VNS therapy was discontinued due to adverse events.

**CONCLUSION:** The study indicates that VNS therapy is safe and effective in focal, generalized and combined epilepsy types. Despite having a low seizure freedom rate, VNS is a good alternative treatment option for patients who for any reason are not candidates for resective surgery.

**KEYWORDS:** Efficacy, Epilepsy, Intractable, Seizure, Treatment, Vagus nerve stimulation

**ABBREVIATIONS:** SUDEP: Sudden unexpected death in epilepsy, VNS: Vagus nerve stimulation

## INTRODUCTION

Epilepsy is a fairly common neurological disease that affects 7 out of 1000 people in the world (13). Additional problems such as psychiatric co-morbidity, stigmatization, caregivers’ burnout, and high economic burden make it more difficult to manage (5,12,15,31). Despite the availability of many antiseizure medication options, 20%–30% of epilepsy cases are still intractable (8,16,24,26). Some of these medically intractable patients may be eligible for resective surgery. However, managing seizures in the rest of those patients is still one of the most important problems for neurologists and epileptologists (22,27).

Vagus nerve stimulation (VNS) is a well-known treatment option for focal and generalized epilepsy that has become an established approach in experienced centers (6,20). Demonstrated efficacy in randomized control trials on medically intractable patients with focal epilepsy had established VNS as a favored method at the end of the 1990s. It has since continued to show benefits in reducing seizure frequency in open-label studies (6,14,17,19). Although VNS is undoubtedly superior to a placebo, studies have shown that the rate of patients who are completely seizure-free remains at 0%–13% (2,11,18). In addition, the reported responder rates from different studies vary widely (2,3,11,17-19).

This study describes the experience at our center with VNS in patients with intractable epilepsy.

**MATERIAL and METHODS**

This study was approved by the local ethics committee. The approval number is 06/11/2020-146358.

This study was designed as a retrospective observational study. The STROBE guidelines were followed during the course of the research (32). Patients treated with VNS between 2005 (the first implantation year in our center) and 2020 were included in the study. Patients’ age, sex, age at onset of seizures, type of epilepsy, epilepsy syndrome (if any), seizure frequency before VNS, the number of antiseizure medications before VNS, implantation year, seizure frequency after VNS, number of antiseizure medications after VNS, output current, adverse events, date of battery change (if applicable), and the subjective evaluation of patients and their caregivers were recorded.

Seizure type, epilepsy type, and epilepsy syndromes were defined according to the new International League Against Epilepsy 2017 classification (25). Seizure diaries were used to determine seizure frequencies.

The efficacy of VNS therapy was assessed in three different ways at three different times. The first parameter was the decrease in the median number of seizure frequency at the 6<sup>th</sup>, 12<sup>th</sup>, and 18<sup>th</sup> months after VNS implantation. Secondly, the number of “responders” was determined using the ≥50% seizure reduction criterion after 6, 12, and 18 months from VNS implantation. Lastly, the subjective evaluation of patients and their caregivers were collected through forms they accomplished regarding the percentage of benefit at the 12<sup>th</sup> month after VNS implantation. Our standard initial stimulation parameter (output current) was 0.25 mA. The output current was increased stepwise during the monthly follow-ups.

**Inclusion Criteria**

Patients who were implanted with VNS at Istanbul University-Cerrahpaşa between the years 2005 and 2020 were included in the study.

**Exclusion Criteria**

Patient who lack data in their medical records and who did not have follow-ups were excluded.

**Statistical Analyses**

SPSS version 21.0 (SPSS Inc., Chicago, IL, USA) was used for the calculation of frequency distributions and percentages. The t-test was used to perform comparisons. The Wilcoxon test was used to analyze data that were not normally distributed. The one-way analysis of variance test was used for variance analysis. The Kruskal–Wallis test was used when the variances were unequal. The Friedman test was used to analyze time-dependent changes. Finally, the Bonferroni correction was applied for multiple comparisons.

**RESULTS**

Patient demographic and clinical characteristics are summarized in Table I. After the exclusion of 3 patients due to lack of data and follow-ups, 41 patients were ultimately included in the study. The male/female ratio was 1.7 (26/15). The mean age of patients was 29.5 ± 9.5 years. Twenty-one patients (51.2%) were diagnosed with focal epilepsy, 10 patients (24.4%) with generalized epilepsy, and 10 patients (24.4%) with combined generalized and focal epilepsy (Lennox-Gastaut and Dravet syndrome). Five patients (12.2%) had a prior history of unsuccessful resective epilepsy surgery. The mean age at seizure onset was 6.4 ± 6.2 years (range: 0–29) in all patients. Age at seizure onset was statistically low in the combined group when compared with the focal or generalized groups (p=0.029) (Table I). The mean age at VNS implantation was 21.9 ± 10.6 years (range: 4–50). Mean age at VNS implantation was low in the combined group but not statistically significant when compared with the other groups (p=0.082) (Table I). The mean follow-up period after implantation was 65.4 ± 36.5 months.

**Pre-VNS Data**

The preoperative median seizure frequency was 2/day in all groups. The median seizure frequency in the combined group (6/day) was significantly higher than in the other groups (p=0.013). Median seizure frequency was 1.5/day in the

**Table I:** Pre-VNS Clinical and Demographic Characteristics of the Patients

	Focal Group	Generalized Group	Combined Group	p
Number of patients	21	10	10	
M/F ratio	2	1	2.3	0.271
Mean age, y	29.9 ± 9.8	31.0 ± 9.4	25.2 ± 4.5	0.239
Mean age at seizure onset, y	6.7 ± 5.3	8.5 ± 4.9	2.6 ± 3.5	<b>0.026</b>
Mean age at VNS implantation, y	22.4 ± 10.7	25.1 ± 9.7	15.5 ± 5.3	0.082
Mean time from the diagnosis to VNS implantation, y	15.7 ± 8.7	16.6 ± 9.1	12.9 ± 6.6	0.579
Pre-VNS median seizure frequency per day	1.5	0.6	6.0	<b>0.013</b>
Pre-VNS mean number of antiseizure medication	3.5 ± 0.6	3.6 ± 0.5	3.6 ± 0.7	0.923

focal group and 0.6/day in the generalized group. The mean number of pre-VNS antiseizure medications was  $3.6 \pm 0.6$  and no significant differences were observed among the 3 groups ( $p=0.950$ ).

**After VNS Implantation**

The median seizure frequencies of all patients at the 6<sup>th</sup>, 12<sup>th</sup>, and 18<sup>th</sup> months after VNS implantation were 0.9/day, 0.4/day, and 0.2/day respectively. All differences were significant when compared with the pre-VNS frequencies (2/day;  $p<0.001$ ). However, the decrease in frequency was significant at the 12<sup>th</sup> month. No statistically significant differences were observed between the 12<sup>th</sup> and 18<sup>th</sup> months after implantation ( $p=0.360$ ).

In the focal group, the median seizure frequency at the 6<sup>th</sup>, 12<sup>th</sup>, and 18<sup>th</sup> months after VNS implantation, were 0.5/day, 0.3/day, and 0.1/day, respectively. All differences were significant when compared with the preoperative data (1.5/day;  $p<0.001$ ). The downward trend in frequency was significant until the end of the 18<sup>th</sup> month ( $p<0.001$ ,  $p=0.006$ , and  $p=0.021$ , respectively).

In the generalized group, the median seizure frequency at the 6<sup>th</sup>, 12<sup>th</sup>, and 18<sup>th</sup> months after VNS implantation were 0.1/day, 0.2/day, and 0.2/day, respectively. All differences were significant when compared with the preoperative data (0.6/day;  $p=0.004$ ). However, no significant difference was observed after the 6<sup>th</sup> month ( $p=0.018$ , 0.484, and 0.624, respectively).

In the combined group, the median seizure frequency at the 6<sup>th</sup>, 12<sup>th</sup>, and 18<sup>th</sup> months after VNS implantation were 4/day, 3/day, and 1/day, respectively. All differences were significant when compared with the preoperative data (6/day;  $p<0.001$ ). The downward trend in frequency was significant at the 6<sup>th</sup> and 12<sup>th</sup> months ( $p=0.007$  and 0.042, respectively). No significant difference was observed between the 12<sup>th</sup> and 18<sup>th</sup> months after implantation ( $p=0.109$ ).

**Number of Responders ( $\geq 50\%$  Reduction in Seizure Frequency)**

The number of responders steadily increased during the observation period, with 22 (53.7%) at the 6<sup>th</sup> month after VNS implantation, 28 (68.3%) at the 12<sup>th</sup> month, and 31 (75.6%) at the 18<sup>th</sup> month. The number of patients with  $\geq 75\%$  reduction in seizure frequency was 20 (48.8%) at the end of follow-up. Only 2 patients (4.9%) were seizure-free after VNS implantation. One patient (2.4%) discontinued therapy due to ineffectiveness. Furthermore, the response rate increased with time in the focal group but remained unchanged in the generalized and combined groups after 6 months. The response rates according to epilepsy type and time are shown in Table II and Figure 1.

**Subjective Evaluation**

The mean reported benefit ratio of all three groups according to the subjective evaluation of patients and their caregivers was 40.5% at the 12<sup>th</sup> month. Per group, the mean reported benefit ratio was 37% in the focal group, 46% in the generalized group, and 46% in the combined group. These ratios were not statistically different from one another ( $p=0.762$ ).

**Antiseizure Medications**

The mean number of antiseizure medications in the pre-VNS period was  $3.6 \pm 0.6$ , which dropped to  $3.2 \pm 0.7$  at the 12<sup>th</sup> month ( $p=0.001$ ) in all groups. In the subgroup analyses, the reduction in the number of antiseizure medications was significant except in the combined group ( $p=0.50$ , 0.025, and 0.104, respectively) (Table III).

**Adverse Events**

Fifteen out of 41 patients (36.6%) reported at least one adverse event up until the end of the follow-up period. The most common side effects were cough ( $n=5$ ; 12.2%),

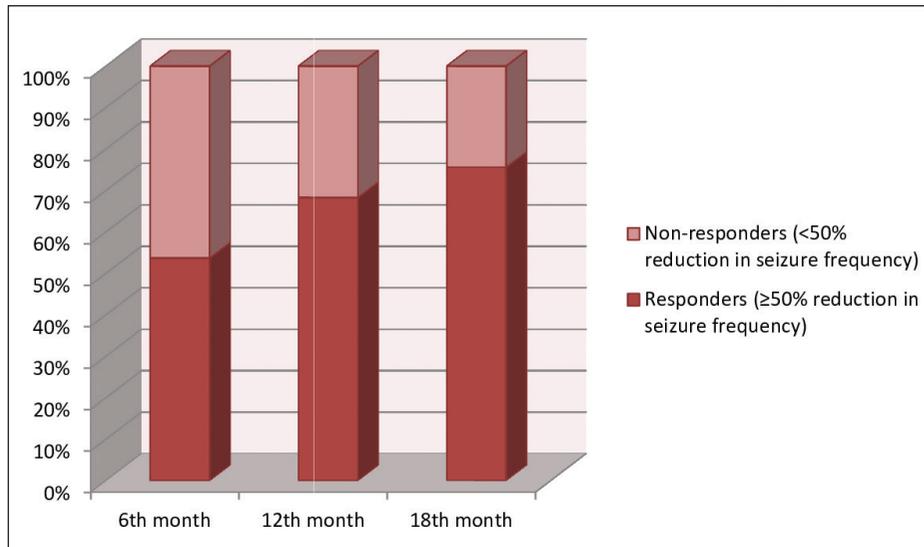
**Table II:** Number of Responders in Different Groups by Time After VNS Implantation

	6 <sup>th</sup> month	12 <sup>th</sup> month	18 <sup>th</sup> month
Focal group	52.4% (11/21)	71.4% (15/21)	85.7% (18/21)
Generalized group	50% (5/10)	50% (5/10)	50% (5/10)
Combined group	60% (6/10)	80% (8/10)	80% (8/10)

**Table III:** Pre-VNS and Post-VNS Data Comparison

	Pre-VNS median seizure frequency (/day)	6 <sup>th</sup> month median seizure frequency (/day)	12 <sup>th</sup> month median seizure frequency (/day)	18 <sup>th</sup> month median seizure frequency (/day)	p	Pre-VNS mean ASM (n)	Post-VNS mean ASM (n)	p
Focal Group	1.5	0.5	0.3	0.1	<b>&lt;0.001</b>	$3.5 \pm 0.6$	$3.2 \pm 0.5$	<b>0.050</b>
Generalized Group	0.6	0.1	0.2	0.2	<b>0.004</b>	$3.6 \pm 0.5$	$2.9 \pm 0.9$	<b>0.025</b>
Combined Group	6.0	4.0	3.0	1.0	<b>&lt;0.001</b>	$3.6 \pm 0.7$	$3.2 \pm 0.8$	0.104

**VNS:** Vagus nerve stimulation, **ASM:** Antiseizure medication.



**Figure 1:** Response rates after VNS implantation.

hoarseness (n=4; 9.8%), nausea (n=3; 7.3%), fatigue (n=2; 4.9%), and infection (n=1; 2.4%). The output current could not be increased from 0.50 mA due to adverse events in one patient, but the patient wanted to continue VNS therapy at this output adjustment. Two patients (4.9%) discontinued VNS therapy due to adverse events. One patient (2.4%) who had achieved seizure freedom died of sudden unexpected death in epilepsy (SUDEP) after 18 months. One patient became pregnant during VNS therapy. Delivery occurred at 39 weeks via cesarean section without any complications. The baby was healthy and has developed normally without any malformations presented.

### Stimulation Parameters and Battery Life

At the last visit after VNS implantation, the mean output current was  $1.9 \pm 0.4$  mA (range: 0.50–2.75), the mean frequency was  $28.9 \pm 3.2$  Hz, and the mean pulse width was  $426.8 \pm 121.4$   $\mu$ s. The average duty cycle had been set at  $32.4 \pm 14.8$  seconds for on-time and  $3.62 \pm 2.45$  minutes for off-time. Battery depletion was observed in 22 patients during the follow-up period, and batteries were changed in 20 patients. The mean battery life was found to be  $5.9 \pm 2.1$  years (range: 3–12 years).

## DISCUSSION

This study showed that VNS therapy is effective in controlling seizures regardless of epilepsy type. Seizure frequency and the mean number of antiseizure medications significantly decreased after VNS implantation. Responder rates were satisfactory and reached the maximum level (75.6%) at the 18<sup>th</sup> month, demonstrating the time-dependent effect of VNS. The subjective self-reported benefit ratio was also satisfactory. Adverse events were well tolerated, and only two patients needed the device removed. The discontinuation ratio due to side effects was 4.9%.

In a recent retrospective study investigating the efficacy of VNS in 11 patients with primary generalized epilepsy, 64%

of patients reported a reduction in seizure frequency (33). However, a 50% limit was not used in reporting the decrease in seizure frequency. Additionally, unlike our study, VNS showed no significant effect on antiseizure medications.

In another study which consisted of 24 patients with focal epilepsy, the median seizure frequency decreased from 16.5/month to 8.5/month at the 12<sup>th</sup> month after VNS, dropping even further to 5/month on long-term follow-up. The percentage of patients reporting a decrease in seizure frequency was 69.2%, while 30.8% of patients reported no change (29). No significant difference was observed in the number of antiseizure medications after VNS.

Alexopoulos et al. showed that in a pediatric age group, 58.7% of patients showed  $\geq 50\%$  reduction, whereas 43.5% of patients showed  $\geq 75\%$  reduction in seizure frequency after VNS. They did not find any effect of VNS on the number of antiseizure medications. Furthermore, three patients died during the follow-up period, two of which probably died from SUDEP and one patient from surgical complications not related to VNS. The reported adverse events rate was 56%, and the discontinuation rate due to adverse events or inefficacy was 21.7% (1). In our series, the discontinuation rate was considerably lower at 9.7% (n=4; 1 died, 2 adverse events, and 1 inefficacy).

In our cohort, one patient became pregnant during VNS therapy and delivered a full-term healthy baby without complications or malformations. The literature has shown that VNS is not related to teratogenicity, and the risk of congenital malformations is low (23,30). However, increased obstetric interventions (cesarean sections, vacuum extractions, etc.) have been observed in patients treated with VNS (23). Another pediatric study with 38 patients, reported that 68% of patients showed  $\geq 50\%$  reduction in seizure frequency at the 12<sup>th</sup> month after VNS (21), which is almost the same as our study findings.

In one of the broadest studies to date consisting of 189 patients, the male/female ratio was 1.2, compared with 1.7

in our study. The mean age at VNS implantation was much higher than that in our study (30 years vs 21.9 years), but the mean output currents at the last visit were similar (1.6 mA vs 1.9 mA). However, the study used the Engel classification to evaluate efficacy instead of 50% reduction (34). In a Canadian study that included 30 patients, the response rates were found to be lower at the 6<sup>th</sup> and the 12<sup>th</sup> months (43% and 48%, respectively) when compared with our study (53.7% and 68.3%, respectively). However, the adverse events rate and types of adverse events were very similar. Ten percent of patients reported dysphonia, 10% hoarseness, 7% cough, and 3% dysphagia (2). The main reason for our higher response rate may be due to the higher pre-VNS seizure frequencies in our cohort.

In our study, the mean age at seizure onset in the combined group was found to be significantly lower when compared with the other groups. Additionally, the pre-VNS seizure frequency was much higher in the combined group. The Dravet and Lennox-Gastaut syndromes are well-known severe forms of epilepsy that manifest in childhood and are associated with frequent seizures and different seizure types (4,10).

Although the reduction in the median seizure frequency was significant and the response rates were relatively high (68.3%), the reported subjective-benefit ratio remained low (40.5%) at the 12<sup>th</sup> month. One reason could be the high expectations of patients and caregivers regarding VNS implantation. Despite full information being given and risks and expectations being presented at preoperative informed consent, seizure freedom was probably the most desired result for both patients and caregivers. However, it could not be achieved for most patients.

Reported battery life varies widely and is dependent on battery models and device stimulation settings (output currents, duty cycle, etc.) (7,9,28). In this study, the mean battery life was found to be 5.9 years which is consistent with the literature.

### Limitations of the Study

Our study has several limitations. The main limitation is that it was a retrospective study. The second limitation is having a relatively small sample size obtained from a single center. In addition, stimulation parameters were not compared among the three groups. Some epilepsy syndromes and seizures are known to evolve with time. However, other than the response rate to VNS, the pure effect of time on some symptoms was not considered. Last but not least, this study did not investigate the effect of VNS on seizure severity and quality of life.

### CONCLUSION

By this study, we confirmed that VNS therapy is safe and effective in focal, generalized and combined epilepsy types. Despite having a low seizure freedom rate, VNS seems to be an important treatment option for patients who for any reason are not candidates for resective surgery.

### AUTHORSHIP CONTRIBUTION

**Study conception and design:** CB, CO

**Data collection:** CB

**Analysis and interpretation of results:** CI, MU

**Draft manuscript preparation:** CB, CI

**Critical revision of the article:** CO

**Other (study supervision, fundings, materials, etc...):** MU, CO

All authors (CB, CO, CI, MU) reviewed the results and approved the final version of the manuscript.

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