

# Preoperative Endoscopic Third Ventriculostomy in Children with Posterior Fossa Tumors: An Institution Experience

Posterior Fossa Tümörlü Çocuklarda Preoperatif Endoskopik Üçüncü Ventrikülostomi: Bir Kurum Deneyimi

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#### ABSTRACT

AIM: To assess the effectiveness and safety of pre-resection endoscopic third ventriculostomy (ETV) in permanently relieving hydrocephalus in children with posterior fossa tumors.

MATERIAL and METHODS: 17 pediatric patients with posterior fossa tumors and associated triventricular obstructive hydrocephalus underwent ETV before definitive tumor resection, and ETV was repeated after tumor resection if hydrocephalus with increased intracranial pressure persisted or recurred. The medical records, operative notes and imaging studies were retrospectively reviewed.

**RESULTS:** 18 ETV procedures were performed in 17 patients, consisting of 11 males and 6 females, age range (1.5 to 13 years; mean 6±3.86). Follow-up periods ranged from 6 to 23 months (mean follow-up 13.9±5.4 months). ETV was successful in relieving hydrocephalus during the follow-up period in 15 out of 17 patients (88.2%). Prior to surgical excision of the posterior fossa tumors, no failures of ETV were detected and all of the 17 patients showed marked clinical improvement and radiological disappearance of signs of active hydrocephalus.

**CONCLUSION:** Preoperative ETV is a highly effective long-term CSF diversion procedure for treatment of hydrocephalus associated with posterior fossa tumors in children. In experienced hands, ETV has a very low complication rate.

KEYWORDS: Hydrocephalus, Endoscopic third ventriculostomy, Posterior fossa tumor

## ÖΖ

AMAÇ: Posterior fossa tümörlü çocuklarda hidrosefaliyi kalıcı olarak tedavi etmek üzere rezeksiyon öncesi endoskopik üçüncü ventrikülostominin (ETV) etkinlik ve güvenliğini değerlendirme.

YÖNTEM ve GEREÇLER: Posterior fossa tümörlü ve ilişkili triventriküler obstrüktif hidrosefalili 17 pediatrik hastada kesin tümör rezeksiyonu öncesinde ETV yapıldı ve ETV işlemi eğer artmış intrakraniyal basınçlı hidrosefali devam ediyorsa veya tekrarlarsa tümör rezeksiyonu sonrasında tekrarlandı. Tıbbi kayıtlar, ameliyat notları ve görüntüleme çalışmaları retrospektif olarak gözden geçirildi.

**BULGULAR:** Yaş aralığı 1,5-13 yıl (ortalama 6±3,86) olan ve 11 erkek ve 6 kadından oluşan 17 hastada 18 ETV işlemi yapıldı. Takip dönemleri 6 ile 23 ay arasındaydı (ortalama takip 13,9±5,4 ay). ETV, takip döneminde 17 hastanın 15'inde (%88,2) hidrosefaliyi tedavi etmekte başarılıydı. Posterior fossa tümörlerinin cerrahi insizyonu öncesinde herhangi bir ETV başarısızlığı saptanmadı ve 17 hastanın tümünde belirgin klinik iyileşme ve aktif hidrosefali bulgularının radyolojik olarak kaybolması görüldü.

SONUÇ: Preoperatif ETV çocuklarda posterior fossa tümörleriyle ilişkili hidrosefalinin tedavisinde çok etkin, uzun dönemli bir BOS dİversiyonu işlemidir. Deneyimli ellerde, ETV'nin komplikasyon oranı çok düşüktür

ANAHTAR SÖZCÜKLER: Hidrosefali, Endoskopik üçüncü ventrikülostomi, Posterior fossa tümörü

#### INTRODUCTION

Internal CSF diversion using endoscopic third ventriculostomy (ETV) evolved as an appealing management option of obstructive hydrocephalus associated with posterior fossa tumors (21). As an alternative procedure to either ventriculoperitoneal (VP) shunting or external ventricular drainage (EVD), ETV has been found very effective for the emergency control of severe hydrocephalus in these patients since it can quickly eliminate symptoms (19).

Controversy, however, still exists regarding the routine use of ETV prior to tumor resection and some authors still even prefer preoperative VP shunts or EVD (1). The purpose of the present study was to assess the effectiveness and safety of pre-resection ETV in permanently relieving hydrocephalus in children with posterior fossa tumors.

#### **PATIENTS and METHODS**

A total of 17 pediatric patients presented to our institution with posterior fossa tumors and associated triventricular

obstructive hydrocephalus during the period between February 2008 and February 2010. All patients underwent ETV within a period of 2 to 5 days before definitive tumor resection, and ETV was repeated after tumor resection if hydrocephalus with increased intracranial pressure persisted or recurred. The decision to perform ETV was based on the clinical evidence of high intracranial pressure and radiological demonstration of active triventricular hydrocephalic process irrespective of the suspected radiological diagnosis of tumor pathology. The medical records, operative notes and imaging studies were retrospectively reviewed.

#### Surgical Procedure

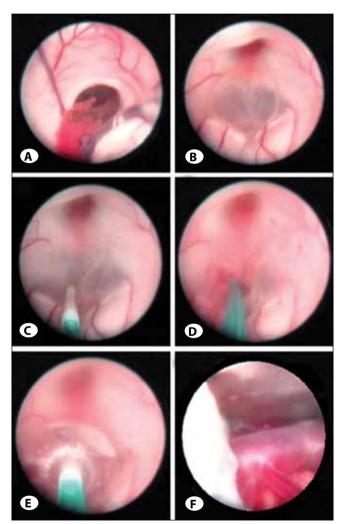
The surgical technique for ETV is extensively described in the literature (9,10,11). In short, the technique used (Figure 1A-F) is described in the following paragraphs. All procedures were done under general anaesthesia with the patient in supine position; head is flexed 15° and otherwise in a neutral position on a horse-shoe head rest. A vertical skin incision was made with its center over the Kocher's point. A rigid endoscope with 0° degree lens was used for all procedures (Ventriculoscope<sup>\*</sup>, Aesculap, Tuttlingen, Germany). The Fogarty balloon catheters used for opening the third ventricular floor were 3 or 4F. After entering the lateral ventricle, the endoscope was navigated through the foramen of Monro identifying the anatomical features in the floor of the third ventricle. The endoscope was advanced closer to the floor and a point for puncturing was chosen; usually midway between the mamillary bodies and the infundibular recess in a thinned out translucent area of the floor using the tip of the Fogarty catheter. The balloon was then slowly inflated within the hole of the third ventricular floor by means of a saline filled syringe until a stoma sufficient to accommodate the outer diameter of the endoscope is accomplished and vibrating edges and the whirl sign were seen. The endoscope was advanced through the stoma and Liliequist membrane was punctured if present to ensure the patency of the ventriculostomy and the preportine cistern was inspected. Then the endoscope was withdrawn after making sure that the ventricular cavities were clear of blood and the scalp incision was closed in layers.

Follow-up data used in the study consisted of clinical examination findings and computed tomography (CT) scans. Clinically, success was defined as resolution of the signs and symptoms of hydrocephalus during the whole follow-up period. Failure was defined as persistence or worsening of the clinical signs and symptoms of hydrocephalus requiring shunt insertion or documented ETV-related complications. Radiological success was defined as resolution of the preoperative radiological signs of hydrocephalus and signs of increased intracranial pressure (ICP) in a CT scan obtained at least three days postoperatively in the absence of cerebrospinal fluid (CSF) leak.

Statistical evaluation was performed using Microsoft<sup>®</sup> Excel software, version 2007.

#### RESULTS

A total of 18 ETV procedures were performed in 17 patients. The patient population consisted of 11 males and 6 females with an age range from 1.5 to 13 years and a mean age of  $6\pm3.86$  years. Clinical findings at presentation are presented in Table I. The types of posterior fossa tumors included ependymoma 41.2% (7/17), cerebellar astrocytoma 35.3% (6/17), medulloblastoma 17.6% (3/17) and diffuse pontine glioma 5.9% (1/17) (Table II, Figure 2). The follow-up periods ranged from 6 to 23 months with a mean follow-up of  $13.9\pm5.4$  months.



**Figure 1:** Surgical technique of endoscopic third ventriculostomy (case 3). **(A)** Endoscopic view of the foramen of Monro and the structures in its vicinity. **(B)** The floor of the third ventricle seen after passing the endoscope through the foramen of Monro. **(C)** The tip of the Fogarty balloon catheter points to the chosen point of puncturing the ventricular floor. **(D)** The third ventricular floor has been punctured. **(E)** The balloon is inflated to dilate the puncture created in the third ventricular floor. **(F)** The interpeduncular cistern as seen by the endoscope; the basilar, right posterior cerebral and right superior cerebellar arteries can be seen clearly.

			Clinical Features										
No.	Age (Yr.), Sex	Diagnosis	Headache	Vomiting	Lethargy	Gait Unsteadiness	Papilloedema	Nystagmus	Limb Ataxia	Abducent palsy	Oculomotor palsy	Facial N. palsy	Hemiparesis
1	11M	Ependymoma	-	+	-	-	-	+	-	-	-	-	-
2	6 M	Cereb. astrocytoma	+	+	-	+	+	+	+	-	-	-	-
3	3 M	Medulloblastoma	+	-	+	+	+	+	+	-	-	-	-
4	2 M	Medulloblastoma	-	+	+	-	-	-	-	+	-	-	+
5	7 F	Ependymoma	+	+	-	+	-	-	-	-	-	-	-
6	4 F	Ependymoma	-	+	-	-	+	+	-	-	-	-	-
7	12 M	Diff. pont. glioma	+	-	+	+	-	+	-	-	+	+	+
8	13 F	Cereb. astrocytoma	+	-	-	+	+		+	+	-	-	-
9	2F	Ependymoma	-	+	+	-	-	+	+	-	-	-	-
10	13 M	Cereb. astrocytoma	+	+	-	+	+	-	-	-	-	-	-
11	2.5 M	Ependymoma	-	+	-	-	+	+	+	+	-	-	-
12	1.5 M	Medulloblastoma	-	+	+	-	-	+	-	-	-	-	-
13	4 M	Cereb. astrocytoma	+	-	-	+	+	-	-	-	-	-	-
14	7 F	Cereb. astrocytoma	+	+	-	+	+	+	+	+	-	-	-
15	3.5 M	Ependymoma	+	-	-	+	+	-	-	-	-	-	-
16	4 M	Cereb. astrocytoma	+	-	-	+	-	+	-	+	-	-	-
17	6.5 F	Ependymoma	+	+	-	-	-	+	+	-	-	-	-

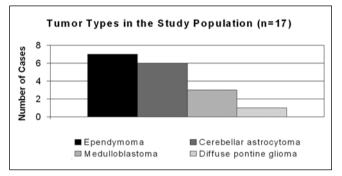
## Table I: Clinical Findings of the Study Population by the Time of Presentation

# Table II: Outcome of ETV and Follow-up Periods

No.	Age (yr), Sex	Diagnosis	Outcome	Follow up period (Months)
1	11 M	Ependymoma	Successful	19
2	6 M	Cerebellar astrocytoma	Successful	13
3	3 M	Medulloblastoma	Failed	6
4	2 M	Medulloblastoma	Successful	19
5	7 F	Ependymoma	Successful	16
6	4 F	Ependymoma	Successful	15
7	12 M	Diffuse pontine glioma	Successful	7
8	13F	Cerebellar astrocytoma	Successful	15
9	2 F	Ependymoma	Failed	20
10	13 M	Cerebellar astrocytoma	Successful	18
11	2.5M	Ependymoma	Successful	10
12	5.5 M	Medulloblastoma	Successful	22
13	4 M	Cerebellar astrocytoma	Successful	23
14	7 F	Cerebellar astrocytoma	Successful	8
15	8 M	Ependymoma	Successful	13
16	4 M	Cerebellar astrocytoma	Successful	7
17	6.5 F	Ependymoma	Successful	15

No technical difficulties were encountered in fenestrating the floor of the third ventricle and the procedure was smoothly performed in all patients with no intraoperative complications.

ETV was successful in relieving hydrocephalus during the follow-up period in 15 out of 17 patients (88.2%) (Figure 3). Prior to surgical excision of the posterior fossa tumors, no failures of ETV were detected and all of the 17 patients showed marked clinical improvement and radiological disappearance of the ventriculomegaly, CSF transependymal permeation and tight CSF spaces (Sylvian fissures and cortical



**Figure 2:** Bar graph of the frequency of the various tumor types in the study population.

sulci) (Figure 4A-D,5). Furthermore, a slack posterior fossa was noted upon surgical excision of the tumor.

Throughout the follow-up period, two post-tumor resection failures of ETV occurred. The first was a 3-year old male (Case 3) with a medulloblastoma who presented with manifestations of high ICP six months following tumor resection. A CT scan revealed a picture of obstructive hydrocephalus but the 4<sup>th</sup> ventricle was patent and there was a non-enhancing left frontal mass with surrounding perifocal edema, causing significant mass effect (Figure 6A-C). The patient underwent

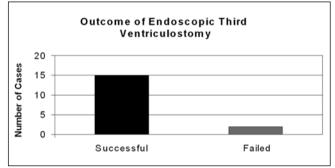
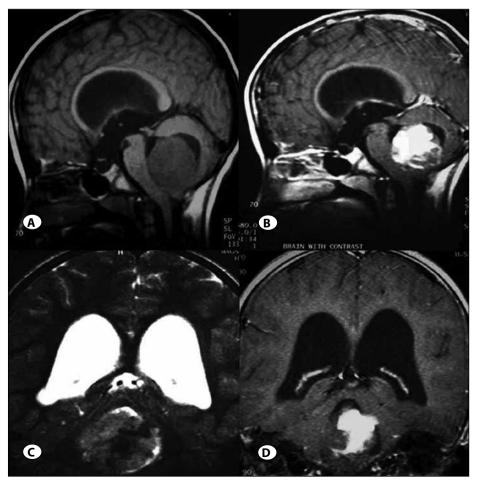


Figure 3: Outcome of ETV in the study population.



**Figure 4:** Preoperative MRI images of a patient with fourth ventricular medulloblastoma (Case 3). The tumor is seen obstructing the fourth ventricle with supratentorial hydrocephalus. **(A)** Sagittal  $T_1$ -weighted without contrast; **(B)** Sagittal T1-weighted with contrast; **(C)** Coronal  $T_2$ -weighted; **(D)** Coronal T1-weighted with contrast images. a second ETV, which revealed a patent stoma of the 3<sup>rd</sup> ventricular floor (even larger than the original opening). The AVP shunt was then inserted in the same setting. The second failed ETV took place in a 2-year old female patient with a 4<sup>th</sup> ventricular ependymoma (Case 9) who developed CSF infection 5 days after tumor excision and was treated with EVD and antibiotics, followed by insertion of a VP shunt (Table II).

Complications directly related to the procedure were encountered in 2 patients (2 out of 18 ETV procedures; 11%). One patient (Case 9) developed CSF infection as described above. Another patient (Case 5) developed a transient hyperphagia that resolved completely within six days.

#### DISCUSSION

The majority of children with posterior fossa tumors have hydrocephalus at the time of presentation (6). Management of hydrocephalus in these cases has been a longstanding controversy (17).

In the past, the insertion of a VP shunt was considered to be an appropriate preoperative treatment in children with posterior fossa tumors and associated hydrocephalus. However, the growing awareness of the common complications associated with ventricular shunting and the rarer complications specific to patients with posterior fossa tumors such as upward herniation, hemorrhage within the tumor and peritoneal seeding of the intracranial tumor cells caused neurosurgeons

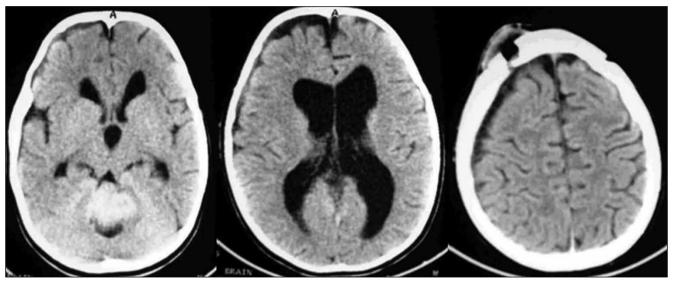


Figure 5: CT with contrast images of case No.3 after undergoing ETV before tumor resection. The subarachnoid spaces are widened and radiological signs of active hydrocephalus are absent.

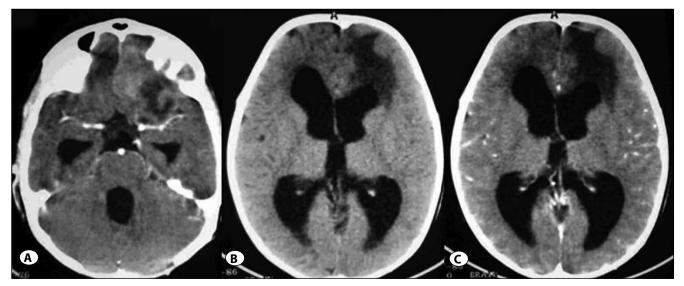


Figure 6: CT with images of case No.3 after 6 months (Case No.3). Note the absence of any residual or recurrent lesions in the fourth ventricle (A). A non-enhancing left frontal mass is seen along with tetraventricular hydrocephalus (B and C).

to question the need for routine shunt insertion (20). Lee and colleagues retrospectively reviewed 42 children with medulloblastoma who were not shunted preoperatively and found that 17 patients (40%) required permanent shunts within four weeks of craniotomy; comparing these patients to those who undergone preoperative VP shunts, a much higher rate of morbidity was noted in those without VP shunting. (13)

Another CSF diversion procedure that can be employed in children with posterior fossa tumors and associated hydrocephalus is insertion of an EVD routinely just prior to tumor resection (16). EVD is associated with risk of CSF infection, hemorrhage or upward herniation (20). Out of these complications, a trend towards a more expectant treatment policy became adopted and consisted of preoperative steroids followed by tumor excision and insertion of an EVD if needed (15).

Internal CSF diversion using ETV evolved as an appealing management option of obstructive hydrocephalus associated with posterior fossa tumors. Obstruction of the CSF flow at the level of the fourth ventricle provides the rationale for the curative effect of ETV in this patient population (21). ETV as an alternative procedure to either VP shunting or EVD has been found very effective for the emergency control of severe hydrocephalus caused by posterior fossa tumors since it can quickly eliminate symptoms (19) and has more recently been recommended by some authors as the first choice treatment option owing to shorter duration of surgery, lower morbidity, lower failure rate as well as the obviously significant advantage of avoiding shunt dependence (8). Success rates ranging from 50% to 95% have been reported in the literature (2,7,9,11,19,21,22). In our series, a success rate of 88.2% was achieved.

Although ETV has been reported as a safe therapy that should be considered as a treatment option for persistent hydrocephalus after the surgical excision of posterior fossa tumors (20, 24), controversy still exists regarding its routine use prior to tumor resection (1). In a study of 59 patients with long-term follow-up periods, the use of pre-resectional ETV has been reported to be an effective and safe procedure with a high success rate at up to 7.5 years of follow-up (1). Although total resection of the tumor should restore the CSF pathway from the third ventricle to the subarachnoid space through the sylvian aqueduct and the fourth ventricle, a significant number of these children will ultimately have uncontrolled hydrocephalus following tumor resection (5,13). It is postulated that the postoperative adhesions around the aqueduct or the fourth ventricle outlets might cause the persistence of the hydrocephalus (17). ETV prior to resection of posterior fossa tumors was found to reduce the risk of postoperative hydrocephalus and in turn reduce the incidences of morbidity and mortality in these patients (18,21). Such reduction of the risk of postoperative hydrocephalus suggests that an additional prophylactic effect of preresectional ETV is in evidence; preoperative normalization of CSF hydrodynamics seems to decrease the risk of the

development of permanent postoperative impairment of the CSF circulation (21). Furthermore, in children with posterior fossa tumors and associated active ventricular dilatation, awaiting the hydrocephalus to be relieved by tumor excision places them at risk of developing intracranial hypertension, an increased rate of CSF leakage, pseudomeningocele formation, prolonged hospitalization, and a higher rate of pseudobulbar palsy (13).

The need for CSF diversion prior to tumor resection was related to the type of tumor in one recent large study by Due-Tønnessen and Helseth who found a 87% cure rate of hydrocephalus by tumor resection alone in children with posterior fossa astrocytoma and a lower cure rate of hydrocephalus by tumor resection alone in patients with medulloblastoma and ependymoma, results which raise the issue of the actual need for preresection ETV in patients whose hydrocephalus can be treated by tumor excision alone (6).

ETV can be used as a palliative treatment in terminal patients with brainstem tumors including diffuse pontine gliomas, yielding good symptomatic relief without significant surgical morbidity (12). In this series, one patient with diffuse pontine glioma (case 7) experienced a marked symptomatic relief of high ICP after an ETV was performed.

A smooth intraoperative course with a slack posterior fossa was noted during tumor excision. No hydrocephalus, CSF leaks or pseudomeningoceles were present postoperatively. The complication rate of 11% encountered in this study fits well within the 6 to 20% range of complication rates reported in the literature (17). Although several serious and fatal complications have been reported including intraoperative venous or arterial bleeding, seizures, CSF leakage, infection, oculomotor nerve palsy, bradycardia, temperature regulation disturbance, diabetes insipidus, syndrome of inappropriate antidiuretic hormone, basilar artery perforation or traumatic and subdural hematoma aneurvsm, subarachnoid, (2,3,4,10,11,14,23,25), the complications of ETV in the majority of cases are usually clinically insignificant.

## CONCLUSION

Preoperative ETV is a highly effective long-term CSF diversion procedure for treatment of hydrocephalus associated with posterior fossa tumors in children. In experienced hands, ETV has a very low complication rate. Further studies to justify the routine use of ETV prior to definitive tumor excision are needed to validate these observations.

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